Application/Redetermination for Elderly and Disabled Programs
Alabama Medicaid Agency

Important: Answer all questions on this form. **An original signature in ink is required**. You may have someone help you complete the application. If additional space is needed, please provide information on the "notes" page at the end of the application. Anyone who makes or causes to be made a false statement, misrepresentation or omission of a material fact in an application or for use in determining eligibility for Medicaid, commits a crime punishable under federal or state law or both.

Please print using dark ink.

1. I want to apply for Medicaid in the:
(Check one)

☐ **Hospital** Name and Address of Hospital _____

_____

(Date of Admission)

☐ **Nursing Home** Name and Address of Nursing Home _____

_____

(Date of Admission)

☐ **ICF/MR Program** Name and Address of Intermediate Care Facility for the Mentally Retarded _____

_____

(Date of Admission)

☐ **Home and Community Based Waiver Program**

☐ **SSI Related Programs (Retroactive, DAC, Widow/Widower, Continuous and Grandfathered Children)**

2. Applicant:

Name: _____
First Middle/Maiden Last

Home Address: _____
Street or 911 Address

(Note: If you are now in a nursing home, your home address prior to admission to the nursing home should be given here.)

_____
City State Zip Code

County of Residence: _____

Telephone Number, include Area Code: _____

Date of Birth: _____ Social Security Number: _____

District Office Use Only

District Office Stamp

_____
Medicare Number

_____
Medicaid Number

3. Race: ☐ White ☐ Black ☐ American Indian ☐ Hispanic ☐ Asian ☐ Other_____

4. Sex: ☐ Female ☐ Male

5. Marital Status (Marriage Information):

☐ I am Married _____ (Date Married) ☐ I am Divorced _____ (Date Divorced)

☐ I am Single (Never Married)

☐ I am Separated _____ (Date Separated) ☐ I am Widowed _____ (Date Widowed)

6. Sponsor: (If the applicant is unable to complete the application or provide additional information, the Medicaid sponsor should be the person **most** familiar with the financial situation of the applicant and should complete page 10.)

Name: _____ Relationship: _____ Home Phone:_____

Address: _____ Office Phone:_____
Street City State Zip

7. Spouse Identification (must be completed if you are married or separated):

_____

Name (First, Middle, Last)

_____

Address (Street or Box Number)

_____

(City, State, Zip Code)

_____

Telephone Number (Area Code & Number

_____ _____

Date of Birth Social Security Number

8. Former Spouse Identification (must be completed if you are widowed or divorced) (For all previous marriages, list most recent first.):

1. Former Spouse's Name:

_____

Social Security Number _____

Date Marriage Began _____ Ended _____

Reason: ☐ Death ☐ Divorce ☐ Other

2. Former Spouse's Name:

_____

Social Security Number _____

Date Marriage Began _____ Ended _____

Reason: ☐ Death ☐ Divorce ☐ Other

9. Living Arrangements:

Check the item which describes your current living arrangement.

- ☐ In your own home with husband or wife (A)
- ☐ In your own home alone (A)
- ☐ In your parent's household (C)
- ☐ In a rented house, apartment, or room (A)
  Amount of Rent $ _____
- ☐ With someone else, not in your own home
  Do you pay any utilities or buy your own food?
  ☐ Yes (A) ☐ No (B)
- ☐ In a Nursing Home (D)
- ☐ In a Hospital (E)
- ☐ Intermediate Care Facility for the Mentally Retarded (F)
- ☐ Other: Please describe: _____

11. Residency Information:

Are you a United States Citizen? ☐ Yes ☐ No

If not, when did you enter the United States? _____

_____

How long have you lived in Alabama? _____

Do you plan to remain in Alabama? ☐ Yes ☐ No

Where were you living prior to entering the medical institution that you are now in?

_____

City County State

12. Veteran's Status:

Are you a Veteran? ☐ Yes ☐ No

Are you a dependent of a veteran? ☐ Yes ☐ No

If yes to either of the above, complete the following:

Relationship to Veteran _____

Veteran's Name:

_____

First Middle Last

_____

Claim Number

Have you applied for Veteran's benefits under the new Veterans & Survivor's Improvement Act? ☐ Yes ☐ No

If no, you must apply and send verification.

13. Supplemental Security Income (SSI):

Have you ever applied for or received SSI? ☐ Yes ☐ No

If yes, when? _____ (month/year)

14. Medical Information:

Did you have medical expenses in any of the three (3) months prior to application? ☐ Yes ☐ No

15. Legal Status:

Has the applicant appointed a power of attorney or has a guardian or conservator been appointed? ☐ Yes ☐ No

If yes, provide a copy and complete the following:

Name _____

Address _____

City, State, Zip Code _____

10. Family Size:

List names of anyone, excluding your spouse, living in your home:

Name	Age	Relationship	Income Source	Monthly Amount
_____	_____	_____	_____	$_____
_____	_____	_____	_____	$_____
_____	_____	_____	_____	$_____

16. Gross Unearned Income

Gross Income: (This means "money coming in" before anything is taken out). Answer the following. Do you or your spouse have "money coming in" from any of the sources listed below? ☐ Yes ☐ No
If yes, fill in the claim number and gross amount. (**A copy of most recent check stub or other verification must be provided.**)

NOTE: If you are applying on behalf of a child, each parent **must** also answer these questions.
NOTE: If you are applying on behalf of an adult, the spouse **must** also answer these questions.

Type of Income	Claim Number	Applicant Gross Amount	Spouse (or Parent) Gross Amount	Other (or Parent) Gross Amount	How Often Received? (Quarterly, Annually, etc.)
1. Social Security (include Medicare Premiums)					
2. SSI (Gold Check)					
3. Public Assistance (Welfare)					
4. Railroad Retirement					
5. Veterans Benefits, Pensions, Compensation or Insurance					
6. Federal Civil Service Annuity					
7. State Retirement/Pension					
8. Private Pension					
9. Miner's Benefits					
10. Black Lung Benefits					
11. Cash Contributions (from relatives, friends, others)					
12. Rental (land, buildings, or from roomer)					
13. Personal loans (relatives, friends, others)					
14. Unemployment Compensation					
15. Insurance Annuity or Proceeds					
16. Government Payments on land					
17. Coal, Oil, Gravel Rights and Timber Leases					
18. Royalties					
19. Court Ordered Support					
20. Interest on Savings					
21. Other: Specify _____					
22. Other: Specify _____					
23. Legal Settlements					
24. Sheltered Workshop Earnings					
25. Earned Income (See page 4)					
26. Self Employment (See page 4)					
27. Dividends					

17. Gross Earned Income (25)

Gross Income: (This means "money coming in" before anything is taken out) Both applicant **AND** spouse and parents of a child applicant should list the income they have.

Wages: If you or your spouse now receive wages or have received any wages from earned income or self-employment (such as farming, your own business, etc.) in the past year, please check: ☐ Yes ☐ No

If yes, list total wages (before anything was taken out of your wages) for the past three months.

	Applicant	Spouse (or Parent)	Other (or Parent)
First Month	_____	_____	_____
Second Month	_____	_____	_____
Third Month	_____	_____	_____

18. Self-Employment*: (26)

Is applicant, spouse or parent currently self-employed? ☐ Yes ☐ No
If yes, what type of self-employment (such as own your own business, farming, etc.)? _____

***A copy of last year's federal tax return must be provided (including Schedule "C" and/or "F").**

19. Property

Please complete all of the information concerning property you or your spouse own, or have owned in the past, or in which you or your spouse have had an interest.

Do you or your spouse now own or are you buying any property or do you have any interest (including life estate, heir property, joint ownership, etc.) in land, buildings or other property, including your home? ☐ Yes ☐ No

If yes, Who owns the property? _____
If yes, Where is the property located? (List the full address of the property, include city, county and state: _____
_____
Does anyone live there now? (Relative, spouse, renter, etc.) ☐ Yes ☐ No
If yes, What is the relationship to the applicant? _____
If you are temporarily away from your home, do you intend to return home? ☐ Yes ☐ No
What is the next planned use of this property? _____
County Tax Assessor's Value of the property:_____
How much do you owe on the property? _____

Have you or your spouse, in the past 5 years, owned or had any interest (including life estate, heir property, joint ownership, etc.) in any other property? ☐ Yes ☐ No
If yes, Where was the property located? County: _____ State: _____
When did you sign a deed disposing of this property? _____

If you answered yes to owning property now or in the past 5 years, a copy of the deed for the property must be provided.

Do you or your spouse own a mobile home? ☐ Yes ☐ No
If yes, Send ownership (title) verification.
If yes, Who owns the land where the mobile home or trailer is located? _____

20. Liquid Assets

Accounts (including checking, savings, certificate of deposit, IRAs):

Does applicant, spouse or parent's name now appear on an account of any kind? ☐ Yes ☐ No

Has applicant, spouse or parent's name appeared on a bank account of any kind in the last five years? ☐ Yes ☐ No

Does applicant, spouse or parent's name now appear on a safe deposit box? ☐ Yes ☐ No

Has applicant, spouse or parent's name appeared on a safe deposit box of any kind in the last five years? ☐ Yes ☐ No

If yes to any of the above questions, complete the following:

1. Name and address of Bank, Credit Union or Brokerage Firm: _____
   Names on account: _____
   Account Number: _____ Type of account: _____
   If closed, what was date closed? _____ If open, what is current balance? _____

2. Name and address of Bank, Credit Union or Brokerage Firm: _____
   Names on account: _____
   Account Number: _____ Type of account: _____
   If closed, what was date closed? _____ If open, what is current balance? $_____

3. Name and address of Bank, Credit Union or Brokerage Firm: _____
   Names on account: _____
   Account Number: _____ Type of account: _____
   If closed, what was date closed? _____ If open, what is current balance? $_____

4. Name and address of Bank, Credit Union or Brokerage Firm: _____
   Names on account: _____
   Account Number: _____ Type of account: _____
   If closed, what was date closed? _____ If open, what is current balance? $_____

(Bank statements and/or cancelled or imaged checks may be requested.)

Do you (either alone, with your spouse, or with any other person) now have or have had:

		Applicant	Spouse
1.	An annuity or similar financial instrument: (Please describe separately under "Remarks" and provide current market value.)	$_____	$_____
2.	Stocks and bonds (Please list separately under "Remarks" and provide current market value for each. Copies required). Enter total value here:	$_____	$_____
3.	Patient account in institution	$_____	$_____
4.	Cash not in bank	$_____	$_____
5.	Trust or special funds	$_____	$_____
6.	Money owed to you (including mortgages and notes in which you have an interest). List persons and amounts in "Remarks."	$_____	$_____
7.	U.S. Government Savings Bonds (Copies required)	$_____	$_____

Remarks: _____

_____

_____

_____

20. Liquid Assets (continued)

		Applicant	Spouse
8.	Ownership interest in leases, mineral rights, timber rights or other rights to real business property. (Please list separately under "Remarks" below.) Enter total value here:	$_____	$_____
9.	Other (Give details under "Remarks")	$_____	$_____

Remarks: _____

_____

_____

_____

Personal Property:

Personal property consists of things you own that are not real property or liquid assets. Cars, boats, tools, and equipment, furniture, antiques, and collections, are examples of personal property.
Please complete the following sections and include your estimate of how much you would get if you sold it now.

Do you or your spouse have:

1. An Automobile? ☐ Yes ☐ No

	Make	Model	Value	How is it used?	How much do you owe?
a.	_____	_____	$_____	_____	_____
b.	_____	_____	$_____	_____	_____

2. Tractor, Farm Machinery, Other Machinery and Equipment? ☐ Yes ☐ No

	Type of Equipment	Year Purchased	Value	How much do you owe?
a.	_____	_____	$_____	$_____
b.	_____	_____	$_____	$_____

3. Other Personal Property (such as antiques, hobby collections, etc.) ☐ Yes ☐ No

Professional appraisal may be required. Estimated value $_____

21. Transfer of Resources:

Has the applicant or spouse sold or given as a gift, any cash, property, vehicle, boat or other resource to any person within the past 60 months? ☐ Yes ☐ No

Item Sold or Given Away	Person to Whom it was Sold or Given	Date Given or Sold	Amount Received or Given

22. Burial or Life Insurance

Do you or your spouse have any life insurance policies? ☐ Yes ☐ No (If yes, copy of face value page required.)

If yes, 1. Name of Company _____

Address (if known) _____

. Policy Number _____

Person insured ☐ Applicant ☐ Spouse Death Benefit/Face Value of Policy $_____

2. Name of Company _____

Address (if known) _____

. Policy Number _____

Person insured ☐ Applicant ☐ Spouse Death Benefit/Face Value of Policy $_____

3. Name of Company _____

Address (if known) _____

. Policy Number _____

Person insured ☐ Applicant ☐ Spouse Death Benefit/Face Value of Policy $_____

4. Name of Company _____

Address (if known) _____

. Policy Number _____

Person insured ☐ Applicant ☐ Spouse Death Benefit/Face Value of Policy $_____

Do you or your spouse have any burial/vault insurance policies? ☐ Yes ☐ No

(If yes, copy of face value page required.)

If yes, 1. Name of Company _____

Address (if known) _____

. Policy Number _____

Person insured ☐ Applicant ☐ Spouse Death Benefit/Face Value of Policy $_____

2. Name of Company _____

Address (if known) _____

. Policy Number _____

Person insured ☐ Applicant ☐ Spouse Death Benefit/Face Value of Policy $_____

3. Name of Company _____

Address (if known) _____

. Policy Number _____

Person insured ☐ Applicant ☐ Spouse Death Benefit/Face Value of Policy $_____

23. Medical Insurance

Do you have Medicare (Social Security Health Insurance)? ☐ Yes ☐ No

If yes, what is your Medicare Number? _____

Are you enrolled in a Medicare Part D drug plan to cover the costs of your medicines? ☐ Yes ☐ No

If yes, how much do you pay for the drug plan premium each month?_____

What is the name of the drug plan you are enrolled in?_____

Do you have Long Term Care Insurance? ☐ Yes ☐ No

If yes, provide a copy of the policy.

Do you have any other health/accident insurance? ☐ Yes ☐ No

If yes, Name of Company_____ Name of Company_____

Address (if known)_____ Address (if known)_____

Type of Policy_____ Type of Policy_____

Policy Number_____ Policy Number_____

Who pays the health insurance premium? ☐ Yourself ☐ Other

How much is the premium?_____ How much is the premium?_____

How often do you pay?_____ How often do you pay?_____

To keep money to pay your health insurance premiums, you must provide proof of the premium amount and that you paid it with your money.

24. Other Burial Fund

Do you or your spouse have a Pre-need contract with a funeral home? ☐ Yes ☐ No

[If yes, copy of contract(s) required.]

If yes, Name of Funeral Home _____

Address _____

Amount $_____

Do you or your spouse have anything else to pay burial expenses? (For example, savings account, cash, CD, etc.) ☐ Yes ☐ No

if yes, what?_____

Notes

_____

_____

_____

_____

_____

_____

_____

RELEASE OF INFORMATION

* I hereby authorize and give my consent for the Alabama Medicaid Agency to obtain information from any source for the purpose of determining my eligibility for Medicaid benefits. I authorize this release form to be in effect for as long as I am on Medicaid regardless of the date that it is signed. I further authorize copies of this document to be used in place of the original. I give my consent for the release of information for those purposes directly related to the administration of the Medicaid program. These purposes include, but are not limited to, establishing eligibility for benefits, determination of the amount of medical assistance received, the provision of services, and investigation of program violations.

AFFIRMATION AND AGREEMENT

* I understand that as a condition of receiving state medical assistance I shall disclose a description of any interest I or my spouse have in an annuity (or similar financial instrument), regardless of whether the annuity is irrevocable or is treated as an asset.
* I understand that as a condition of receiving state medical assistance the Alabama Medicaid Agency will become a remainder beneficiary on any annuity that I or my spouse purchased or on which we performed certain transactions on or after February 8, 2006.
* I certify under penalty of perjury that I am a citizen or national of the United States, or in satisfactory immigration status.
* I give permission to the Alabama Medicaid Agency to use my social security number to get information about my resources and income from banks, financial institutions, employers, and other county, state and federal agencies, and/or to see if I qualify for assistance or to see if I have insurance.
* I understand that if this application or other information shows that I may be eligible for payments or benefits from other sources, I am required to apply for them.
* I understand that if I am awarded nursing home benefits that part or all of my income must be applied to the nursing home bill as directed by the Alabama Medicaid Agency.
* I understand that my case is subject to review by State and Federal Quality Control and that I must cooperate in completing the application process or in any subsequent reviews of my eligibility, including reviews resulting from reported changes, recertification, or as a part of a State or Federal Quality Control Review.
* If I am approved for Medicaid, I assign all insurance and medical support benefits to Medicaid. If Medicaid pays my bills, then my insurance or other benefits (such as lawsuit settlements) must be used to pay Medicaid back. I agree to help and cooperate with Medicaid in identifying and collecting this money, or I may lose my Medicaid benefits. I give permission for my insurance company, employer, and others to give needed information to Medicaid in order to administer the Medicaid program.
* I understand that resources that have been sold, transferred, disposed of, or given away within the past 60 months from the month of application, may affect eligibility for Medicaid in a medical institution or a Home and Community Based Waiver Program.

RESPONSIBILITIES

* I agree to notify the Medicaid District Office within ten (10) days, if there is a change in my address, living arrangements, family size, income or resources. I agree to notify the district office if I return to work, am discharged from the nursing home, hospital or move from one to the other. I also agree to report any improvement in my medical condition if I am receiving Medicaid benefits because I am blind or disabled and I am not yet 65 years of age.

ESTATE RECOVERY

* **I understand that my estate may be subject to recovery of any funds expended by Medicaid pursuant to this application and/or redetermination. My sponsor, relative, or other person who files my estate <u>MUST</u> notify Alabama Medicaid at ATTN: Estate Administration, P.O. Box 5624, Montgomery, Alabama 36103-5624.**

FALSE STATEMENTS

* I know that anyone who makes or causes to be made a false statement, misrepresentation or omission of a material fact in an application or for use in determining eligibility for Medicaid commits a crime punishable under Federal or State law or both.
  I affirm under penalty of perjury that all information I give in this document or in support of it is true.

Does the applicant and/or sponsor/representative accept the terms of the Release of Information, Affirmation and Agreement, Responsibilities, Estate Recovery, and False Statements listed above and agree to notify the Medicaid District Office of any changes?

☐ **Yes** ☐ **No**

_____ _____

Signature of Applicant Date Signature of Spouse Date

_____

Signature of Parent or Sponsor Date

_____ _____

Witness' Signature Date Witness' Signature Date

(Witnesses are required only if the applicant signs with an "X")

APPOINTMENT OF REPRESENTATIVE

I hereby appoint: _____ (Sponsor's Name) as my legal representative to act in my stead and on my behalf to apply, reapply and make claim for Medicaid benefits under Title XIX of the Social Security Act from the Alabama Medicaid Agency, hereby ratifying and confirming the acts of my said representative on my behalf. This appointment authorizes my said representative to fully act in my stead in connection with all Medicaid matters involving me, including, but not limited to, making applications, reapplications and claims of all kinds, accepting and giving notice in connection with eligibility determinations and Fair Hearings, requesting information, and presenting and eliciting evidence. This appointment shall remain in full force and effect until I have notified the Alabama Medicaid Agency in writing that this authority has been withdrawn.

Done this the _____ day of _____, 20 _____.

WITNESSES:

_____ _____

(Signature of Medicaid Claimant)

_____ _____

(Social Security Number)

If claimant cannot sign his/her name but can make a mark; this is acceptable if witnessed by two adults.
The mark may be labeled. Example: ___X (Her mark) ___Jane Doe____.

If claimant cannot sign his/her name or make a mark and there is no one legally designated as guardian, conservator, etc., representative must answer the questions below:

What is your relationship to claimant? _____

Why can't claimant sign? _____

To what extent are you responsible for claimant? _____

If claimant has a legally appointed guardian, conservator or someone with durable power of attorney who will represent him/her for Medicaid purposes, claimant's signature on this form is not required. Representative should sign the Representative portion of the form only and attach to this form a copy of evidence of legal authority to act on claimant's behalf (Letter of Conservatorship/Guardianship or Durable Power of Attorney).

ACCEPTANCE OF APPOINTMENT

I hereby accept the foregoing appointment. I certify that I have not been suspended or prohibited from practice before the Alabama Medicaid Agency and am not otherwise disqualified from acting as an appointed representative. I acknowledge that representations and applications made by me on behalf of the claimant are made under an affirmation which subjects me to penalties for perjury and that false statements may subject me to penalties or fraud.
My relationship to the above is _____ (Attorney, relative, etc.)

Done this the _____ day of _____, 20 _____.

WITNESSES:

_____ _____

(Signature of Sponsor/Representative)

_____ _____

(Address)

_____

(City, State)

_____

(Telephone Number)

Notice to Applicants and Sponsors

Federal and state laws provide both criminal and civil penalties for false statements or material omissions in an application for Medicaid benefits or payments. Also, any application found to contain material misstatements or omissions will be denied.

The following statutes are excerpts from the Code of Alabama pertaining to the Medicaid program:

§§ 22-1-11. Making false statement or representation of material fact in claim or application for payments on medical benefits from medicaid agency generally; kickbacks, bribes, etc.; exceptions; multiple offenses.

(a) Any person who, with intent to defraud or deceive, makes, or causes to be made or assists in the preparation of any false statement representation or omission of a material fact in any claim or application for any payment, regardless of amount, from the medicaid agency, knowing the same to be false; or with intent to defraud or deceive, makes, or causes to be made, or assists in the preparation of any false statement, representation or omission of a material fact in any claim or application for medical benefits from the medicaid agency, knowing the same to be false; shall be guilty of a felony and upon conviction thereof shall be fined not more than $10,000.00 or imprisoned for not less than one nor more than five years, or both.

* * *

(e) Any two or more offenses in violation of this section may be charged in the same indictment in separate counts for each offense and such offense shall be tried together, with separate sentences being imposed for each offense of which defendant is found guilty. (Acts 1980, No. 80-539, p. 837, Sections 1-5.)

§§ 22-6-8, Revocation of eligibility of recipient upon determination of abuse, fraud, or misuse of benefits; when eligibility may be restored.

(a) Upon determination by a utilization review committee of the designated state medicaid agency that a medicaid recipient has abused, defrauded, or misused the benefits of the program said recipient shall immediately become ineligible for medicaid benefits.

(b) Medicaid recipients whose eligibility has been revoked due to abuse, fraud or other deliberate misuse of the program shall not be deemed eligible for future medicaid services for a period of not less than one year and until full restitution has been made to the designated state medicaid agency.

(c) The provisions of this section shall not be effective if they are found by a court of competent jurisdiction to contravene federal laws or federal regulations applicable to the medicaid program. (Acts 1980, No. 80-127, p.190.)